

RECEIPT  
#8  
**FILE COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jay S. Walker et al. )

For: METHOD AND APPARATUS FOR )  
PROVIDING CROSS-BENEFITS )  
VIA A CENTRAL AUTHORITY )

) Examiner: Not Assigned

Serial No.: 09/274,281 )

) Group Art Unit: 2761

Filing Date: March 22, 1999 )

) Docket No.: WD2-99-006

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Application Processing Division's Customer Correction Branch



TC 2700 MAIL ROOM

NOV - 8 1999

RECEIVED

3<sup>rd</sup> REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected as follows:

- 1) The continuing data be corrected from " THIS APPLN IS A CIP OF ~~09/219,267 filed December 23, 1998 which is a CIP OF 08/943,483 filed October 3, 1997 which is a CIP of 08/923,683 filed September 4, 1997 which is a CIP of 08/889,319 filed July 8, 1997~~ which is a CIP OF U.S. Patent No. 5,794,207 issued August 11, 1998 **AND a CIP of 09/100,684 filed June 19, 1998** which is a CIP of 08/982,149 filed December 1, 1997 which is a CIP of 08/994,426 filed December 19, 1997 which is a CIP of 08/920,116 filed August 26, 1997 which is a CIP of 08/822,709 filed March 21, 1997.

Each of these requested corrections has been indicated in red on an enclosed copy of the official Filing Receipt.

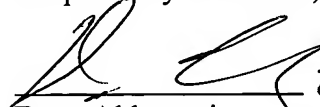
In support of this request, applicants have enclosed a copy of the patent application transmittal letter filed with the original application on March 22, 1999, which clearly indicates the correct data requested above. Please issue a corrected Filing Receipt in due course.

August 26, 1999

Date



Respectfully submitted,

  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905  
(203) 905-6666  
(203) 595-8266 (fax)

TC 2700 MAIL ROOM

NOV - 8 1999

RECEIVED

Express Mail Label No.: EL117182937US

Docket No.: WD2-99-006

Total No. of Pages in this Submission: \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231



**APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing under 35 U.S.C. §111(a) and 37 C.F.R. §1.53(b) is a utility patent application of:

Inventor(s): Jay S. WALKER, Daniel E. TEDESCO, Stephen TULLEY, John M. PACKES, Jr., Dierdre O'SHEA, Keith BEMER, James A. JORASCH, Dean P. ALDERUCCI, Magdalena MIK

for: METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY

☒ This application is a:

☐ CONTINUATION

☒ CONTINUATION-IN-PART

☐ DIVISIONAL

of prior application no(s): 09/219,267 filed December 23, 1998 which is a CIP of 08/943,483 filed October 3, 1997 which is a CIP of 08/923,683 filed September 4, 1997 which is a CIP of 08/889,319 filed July 8, 1997 which is a CIP of 5,794,207 issued August 11, 1998 which is a CIP of 09/100,684 filed June 19, 1998 which is a CIP of 08/982,149 filed December 1, 1997 which is a CIP of 08/994,426 filed December 19, 1997 which is a CIP of 08/920,116 filed August 26, 1997 which is a CIP of 08/822,709 filed March 21, 1997.

- ☐ With respect to the inventorship of the co-pending parent application, from which this application claims benefit under 35 U.S.C. § 120, the inventor(s) in this application is (are) less than those named in the co-pending parent application and the following inventor(s) should be deleted from this application:

Inventor(s) \_\_\_\_\_

**Papers Enclosed:**

Enclosed are:

X	52 Page Application, including 18 pages of claims and 1 page of Abstract
X	25 Sheets of formal drawings/Figs. 1-22
X	Express Mail Certificate
X	An Assignment of the Invention to Walker Digital Corporation with Recordation Cover Sheet
X	Copy of Verified Statement Claiming Small Entity Status (Small Business Concern)
X	Information Disclosure Statement, Form PTO-1449 and Copies of references
X	Copy of Combined Declaration and Power of Attorney
	Other: _____



**Fee Calculation:**

The filing fee has been calculated as shown below:

(Col. 1)

(Col. 2)

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	69 - 20 =	49
INDEPENDENT CLAIMS	12 - 3 =	9
<div> <div></div> <div>MULTIPLE DEPENDENT CLAIMS PRESENTED: 0</div> </div>		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY

LARGE ENTITY

RATE	FEE	OR	RATE	FEE
	\$380	OR		\$760
X \$9 =	\$441	OR	X \$18 =	\$0
X \$39 =	\$351	OR	X \$78 =	\$0
X \$130=	\$	OR	X \$260=	\$0
TOTAL	\$1172	OR	TOTAL	\$0

**Method of Payment:**

- ☒ Please charge \$ 1172.00 to Deposit Account No. 50-0271. A duplicate copy of this paper is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.16 or § 1.17 which may be required during the pendency of this application, or to credit any overpayment, to Deposit Account No. 50-0271.

**Address for Correspondence:**

- ☒ Please address all future communications to:

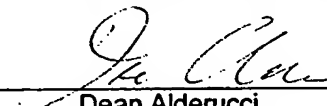
Dean Alderucci  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, Connecticut 06905-1326  
Phone (203) 705-3006  
Fax (203) 595-8266

RECEIVED  
10Y-8 1999  
TC 2700 MAIL ROOM

Respectfully submitted,  
Walker Digital Corporation

Dated: March 22 1999

By

  
Dean Alderucci  
Reg. No. 40,484  
Attorney for Applicants

Mailing Address:  
Walker Digital Corporation  
Intellectual Property Department  
Five High Ridge Park  
Stamford, Connecticut 06905-1326  
Phone (203) 705-3006  
Fax (203) 595-8266

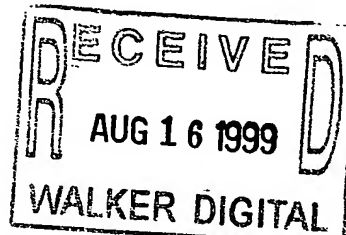
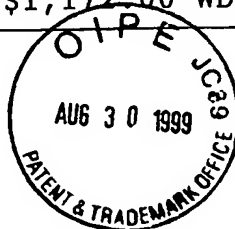
FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/274,281	03/22/99	2761	\$1,172.00	WD2-99-006	25	69	12

DEAN ALDERUCCI  
WALKER DIGITAL CORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD CT 06905-1326



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAY S. WALKER, RIDGEFIELD, CT; DANIEL E. TEDESCO, NEW CANAAN, CT; STEPHEN C. TULLEY, STAMFORD, CT; JOHN M. PACKES, HAWTHORNE, NY; DEIRDRE O'SHEA, NEW YORK, NY; KEITH BEMER, NEW YORK, NY; JAMES A. JORASCH, STAMFORD, CT; DEAN P. ALDERUCCI, RIDGEFIELD, CT; MAGDALENA MIK, GREENWICH, CT.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 09/219,267 12/23/98  
WHICH IS A CIP OF 08/943,483 10/03/97  
WHICH IS A CIP OF 08/923,683 09/04/97  
WHICH IS A CIP OF 08/889,319 07/08/97  
WHICH IS A CIP OF 08/707,660 09/04/96  
*and* WHICH IS A CIP OF 09/100,684 06/19/98  
WHICH IS A CIP OF 08/982,149 12/01/97  
WHICH IS A CIP OF 08/994,426 12/19/97  
WHICH IS A CIP OF 08/920,116 08/26/97  
WHICH IS A CIP OF 08/822,709 03/21/97

RECEIVED  
AUG 16 1999  
MAIL ROOM

PAT 5,794,207

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/13/99 \*\* SMALL ENTITY \*\*  
TITLE  
METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL  
AUTHORITY

PRELIMINARY CLASS: 705

File No:	99-006
Attorney:	Km
Due Date:	8/26/99
Docketed:	8/16/99

DATA ENTRY BY: DUCKETT, GOIGA

TEAM: 08 DATE: 08/09/99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al.

For: METHOD AND APPARATUS FOR  
PROVIDING CROSS-BENEFITS  
VIA A CENTRAL AUTHORITY

Serial No.: 09/274,281

Filing Date: March 22, 1999

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Application Processing Division's Customer  
Correction Branch

Examiner: Not Assigned

Group Art Unit: 2761

Docket No.: WD2-99-006



RECEIVED  
NOV - 8 1999  
TC 2700 MAIL ROOM

TRANSMITTAL LETTER

Applicants hereby submit the following documents for the above-identified patent application:

1. Copy of Application Transmittal Letter;
2. 3<sup>rd</sup> Request for Corrected Filing Receipt;
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

Respectfully submitted,

Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905

August 26, 1999

Date

**Certificate of Mailing**

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn: Application Processing Division's Customer Correction Branch on August 26, 1999.

Sylvia Gonzalez

Typed Name of Person Making  
Deposit

Signature

08/26/99

Date